

# Systematic Transfer Plan/ Systematic Withdrawal Plan



<b>ARN-</b> ARN-134803 <small>Distributor / RIA Code#</small> <b>HARSHIL D MORJARIA</b>	<b>ARN-</b> Sub-Distributor Code	<b>E</b> E225415	Internal Code for Sub-broker/ Employee
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#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of IDFC Mutual Fund.  
 Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. XIII). - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of First / Sole Applicant /  
Guardian / Authorised Signatory

## 4.1 EXISTING UNIT HOLDER INFORMATION

Name of the First Holder

Folio No.  PAN/PERN (mandatory)  Enclosed  PAN/PERN Proof  KYC Compliant

KIN  KYC Identification Number

## 4.2 SYSTEMATIC TRANSFER PLAN (Please mention the PAN/PERN without which, this application form will be considered incomplete and is liable to be rejected.)

From Scheme

Plan  Option  Growth/  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

To Scheme

Plan  Option  Growth/  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

<input type="checkbox"/> <b>SYSTEMATIC TRANSFER PLAN - FIXED</b>	<input type="checkbox"/> <b>SYSTEMATIC TRANSFER PLAN - CAPITAL APPRECIATION</b>
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<p><b>Transfer Frequency (Please tick (✓) any one of the below frequencies)</b></p> <p><input type="checkbox"/> Daily</p> <p><input type="checkbox"/> Weekly - Daywise (Transfer on every Monday of the month)</p> <p><input type="checkbox"/> Weekly - Datewise (Transfer date will be 7<sup>th</sup>/14<sup>th</sup>/21<sup>st</sup>/28<sup>th</sup> of the month)</p> <p><input type="checkbox"/> Fortnightly (Transfer date will be 1<sup>st</sup>/16<sup>th</sup> of the month)</p> <p><input type="checkbox"/> Monthly (Any day of the month except 29<sup>th</sup>, 30<sup>th</sup> &amp; 31<sup>st</sup>)</p>	<p><b>Transfer Frequency (Please tick (✓) any one of the below frequencies)</b></p> <p><input type="checkbox"/> Monthly</p> <p><input type="checkbox"/> Quarterly</p> <p><input type="checkbox"/> Half Yearly</p> <p><input type="checkbox"/> Annual</p> <p>Any day of the month except 29<sup>th</sup>, 30<sup>th</sup> &amp; 31<sup>st</sup>.</p>
Transfer Instalment ₹ <input type="text"/> ₹ in words <input type="text"/>	
STP Period Start <input type="text"/> End <input type="text"/> OR No. of Installments <input type="text"/>	

## 4.2.1 DIVIDEND SWEEP OPTION (Applicable only for Dividend Re-invest option)

To (Scheme & Plan Name)

## 4.3 SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please mention the PAN/PERN without which, this application form will be considered incomplete and is liable to be rejected.)

From Scheme

Plan  Option  Growth/  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

SWP Period Start  End

Option#	Frequency	Date	Amount (Rs.)
<input type="checkbox"/> Fixed Amount*	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup>	
<input type="checkbox"/> Capital Appreciation*	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup>	N. A.
	<input type="checkbox"/> Payout only in March	2 <sup>nd</sup> last business day - Debt and Liquid scheme 4 <sup>th</sup> last business day - Equity, Balanced & FOF	N. A.

#Please tick only one Option. \*Fixed Plan : Start date for Fixed Plan under SWP is the date from which the first withdrawal will begin. \*Capital Appreciation : Start date for Capital appreciation under SWP is the date from which capital appreciation, if any, will be calculated till the first withdrawal date.

4.4. I/ We have read, understood and agree to comply with the terms and conditions of the Statement of Additional Information, Scheme Information Documents and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standards, statutory requirements prescribed by SEBI, AMFI, Prevention of Money Laundering Act, 2002 (PMLA) and all applicable rules and regulations and hereby confirm that I/We have not received nor been induced by any rebate or gifts, directly or indirectly, to make this investment. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable Regulations or (ii) residents of Canada, and I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines. I/We hereby provide my/our consent to IDFC Asset Management Company Limited ("IDFCAMC") for (i) collecting, storing and usage; (ii) validating/authenticating with Unique Identification Authority of India ("UIDAI") by itself or through its Registrar and Transfer Agent ("RTA"); and (iii) downloading and updating my/our Aadhaar number(s) and associated demographic information (including updated information) in my/our accounts/folios under IDFC Mutual Fund, based on my/our Income Tax Permanent Account Number ("PAN") in accordance with the Aadhaar Act, 2016, PMLA and rules & regulations made thereunder and applicable SEBI guidelines. I/We hereby further authorise IDFCAMC for sharing/disclosing of the Aadhaar number(s) and associated demographic information (including any updated information) by itself or through its RTA, depository participants, and asset management companies of other SEBI registered mutual funds, and their RTAs, for the purpose of updating the same in my/our accounts/folios based on my/our PAN.

<b>X</b> First / Sole Applicant / Guardian / Authorised Signatory	<b>X</b> Second Applicant	<b>X</b> Third Applicant	POA Holder
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